

Summary

2011/12, twenty two audits deferred – four Risk Based and eighteen Advisory

Fifteen of them were carried forward into the following year, including all the Risk Based. Seven were not carried forward, after discussion at the planning meetings for the following year and subsequent decision on priorities.

2010/11, nineteen audits deferred – six Risk Based and thirteen Advisory

Eleven of them were carried forward into the following year, including three of the Risk Based. Eight were not carried forward, after discussion at the planning meetings for the following year and subsequent decision on priorities. Three of these were Risk Based audits, not carried forward because two of those risks have been deleted from the SARC and the third was covered in other audit reviews.

Definitions

Risk based audits

Work based on strategic and operational risks identified by the organisation in the SARC and Service Plans. Risks are linked to the organisation's objectives and represent the possibility that the objectives will not be achieved.

Advisory

Work based on discussions with management when the audit plan is being produced.

2011/12 Plan deferrals carried forward into following year

Audit deferred	Type of review	In Plan for 2012/13	Comments
Theatr Clwyd	Advisory	Yes	
Corporate Grants	Advisory	Yes	
Capital Programme	Advisory	Yes (2013/14)	
RIPA Compliance	Advisory	Yes (2014/15)	
Single Status pay modelling	Advisory	Yes	
Youth and Community	Advisory	Yes	
Leisure Strategy	Advisory	Yes	
Homecare	Advisory	Yes (Care Homes)	
Work Ticket Validation	Advisory	Yes	
Private Sector Housing	Advisory	Yes (2013/14)	
Private Rented Sector	Advisory	Yes (2013/14)	
County Town Regeneration	Risk based CL 10	Yes	
Communities First Follow Up	Risk based (Operational)	Yes (2014/15)	Previous Reports May 2011. End of current scheme 2012.
Streetscene	Risk based CD 02	Yes (2013/14)	Previous Report March 2011.
Planning	Risk based CD 04	Yes (2013/14)	Previous Report S 106 Report Feb 2011. Planning Appeals review 2012/13.

2011/12 Plan deferrals not carried forward into following year.

Audit Deferred	Type of Review	Comment
Enforcement	Advisory	Debt Management and Enforcement covered in Financial Systems review
People Strategy – Applications processes	Advisory	
Development Standards – compliance review	Advisory	
Schools Corporate Governance	Advisory	Covered by schools Control and Risk Self Assessment
Asset Management non HRA	Advisory	
Humanitarian Response Plan	Advisory	
Day Services	Advisory	

2010/11 Plan deferrals carried forward into following year.

Audit deferred	Type of Review	In Plan 2011/12	Comments
Enforcement	Advisory	Yes	
Land Registration	Advisory	Yes (Commons Register) (2012/13)	
ICT Procurement	Advisory	Yes (2012/13)	
Youth and Community	Advisory	Yes	
Humanitarian Response Plan	Advisory	Yes	
Cash Control	Advisory	Yes (2012/13)	
Re-letting Empty Properties	Advisory	Yes	
Climate Change and Flood Risk Management	Risk based CL08	Yes	
Waste Management	Risk based CD 27	Yes (2012/13)	
Planning	Risk based (operational)	Yes	
AD Waste	Advisory	(not applicable – no longer exists)	

2010/11 Plan deferrals not carried forward into following year.

Audit Deferred	Type of Review	Comment
Project Management	Advisory	
Voluntary Sector Grants	Risk based CL03	Risk now deleted from SARC
DSO Performance	Risk based CD30	Risk now deleted from SARC
HR Strategy Management	Risk based	Included in other reviews
Records Management	Advisory	
Contract Monitoring	Advisory	
Highways	Advisory	
North Wales Waste Treatment Project	Advisory	